

ON THE TYPE(S) OF MINIMUM SIZE SUBSPACE PARTITIONS

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ABSTRACT. Let $V = V(kt + r, q)$ be a vector space of dimension $kt + r$ over the finite field with q elements. Let $\sigma_q(kt + r, t)$ denote the minimum size of a subspace partition \mathcal{P} of V in which t is the largest dimension of a subspace. We denote by n_{d_i} the number of subspaces of dimension d_i that occur in \mathcal{P} and we say $[d_1^{n_{d_1}}, \dots, d_m^{n_{d_m}}]$ is the *type* of \mathcal{P} . In this paper, we show that a partition of minimum size has a unique partition type if $t + r$ is an even integer. We also consider the case when $t + r$ is an odd integer, but only give partial results since this case is indeed more intricate.

1. INTRODUCTION

Let $V = V(n, q)$ denote a vector space of dimension $n = kt + r$ over the finite field with q elements. A *subspace partition* \mathcal{P} of V , also known as a *vector space partition*, is a collection of nonzero subspaces of V such that each *point*, that is, 1-dimensional subspace, of V is in exactly one subspace of \mathcal{P} . We denote by n_{d_i} the number of subspaces of dimension d_i that occur in \mathcal{P} and we say $[d_1^{n_{d_1}}, \dots, d_m^{n_{d_m}}]$ is the *type* of \mathcal{P} , where $d_1 < \dots < d_m$ and $n_i > 0$ for $1 \leq i \leq m$. The *size* of a subspace partition \mathcal{P} is the number of subspaces in \mathcal{P} . Let $\sigma_q(n, t)$ denote the *minimum size* of a subspace partition of V in which the largest subspace has dimension t .

Generalizing a theorem in [8], the following theorem was proved by the authors of the present paper in [4].

Theorem 1. *Let n, k, t , and r be integers such that $1 \leq r < t$, $k \geq 1$, and $n = kt + r$. Then*

$$\sigma_q(n, t) = q^t + 1 \quad \text{for } n < 2t ,$$

and

$$\sigma_q(n, t) = q^{t+r} \sum_{i=0}^{k-2} q^{it} + q^{\lceil \frac{t+r}{2} \rceil} + 1 \quad \text{for } n \geq 2t .$$

The question studied here is whether or not a subspace partition of minimum size, that is, attaining the lower bound given in Theorem 1, is of a type which just depends on n and t . We found that this is true in many cases and, in particular, when $t + r$ is an even integer. The

case when $t + r$ is odd turned out to be more intricate and we obtain just partial answers in this case.

Let $\ell = q^r \sum_{i=0}^{k-2} q^{it}$. Our main results are thus the following theorems:

Theorem 2. *Let n, k, t , and r be integers such that $1 \leq r < t$, $k \geq 2$, $t + r = 2s$ for some integer s , and $n = kt + r$. Let \mathcal{P} be a subspace partition of $V(n, q)$ of size $\sigma_q(n, t)$ and with maximum subspace dimension t . Then \mathcal{P} has type $[s^{n_s}, t^{n_t}]$, where*

$$n_s = q^s + 1, \quad \text{and} \quad n_t = \ell q^t.$$

Theorem 3. *Let n, k, t , and r be integers such that $r = t - 1$, $k \geq 2$, and $n = kt + r$. Let \mathcal{P} be a subspace partition of $V(n, q)$ of size $\sigma_q(n, t)$ and with maximum subspace dimension t . Then \mathcal{P} has type $[(t - 1)^{n_{t-1}}, t^{n_t}]$, where*

$$n_{t-1} = q^t, \quad \text{and} \quad n_t = \ell q^t + 1.$$

When $r < t - 1$ we obtain the following result:

Theorem 4. *Let n, k, t , and r be integers such that $1 \leq r < t - 1$, $k \geq 2$, and $t + r = 2s - 1$ for some integer s . Let \mathcal{P} be a subspace partition of $V(n, q)$ of size $\sigma_q(n, t)$ and with maximum subspace dimension t . If the number of subspaces of dimension t is $n_t = \ell q^t$, then \mathcal{P} has type $[(s - 1)^{n_{s-1}}, s^1, t^{n_t}]$, where*

$$n_{s-1} = q^s, \quad \text{and} \quad n_t = \ell q^t.$$

It must be remarked that subspace partitions of types as indicated in the three previous theorems indeed exist and are well known, see Section 2.1 for a construction of them.

When $t + r$ is odd and $n_t < \ell q^t$, we were able to derive only two new non-trivial necessary conditions:

Theorem 5. *Let n, k, t , and r be integers such that $1 \leq r < t - 1$, $k \geq 2$, and $t + r = 2s - 1$ for some integer s . Let \mathcal{P} be a subspace partition of $V(n, q)$ of size $\sigma_q(n, t)$.*

(1) *If \mathcal{P} has type $[a^{n_a}, t^{n_t}]$, then $a = t - 1$,*

$$n_a = q^{t-1} + q^{t-2} + 1, \quad \text{and} \quad n_t = \ell q^t - q^{t-2}.$$

(2) *If \mathcal{P} has type $[a^{n_a}, b^{n_b}, t^{n_t}]$, then $a = s - 1$, $b = s$,*

$$n_a = q^s - \delta q \frac{q^{t-s} - 1}{q - 1}, \quad n_b = \delta \frac{q^{t-s+1} - 1}{q - 1} + 1, \quad \text{and} \quad n_t = \ell q^t - \delta,$$

for some integer δ such that

$$0 \leq \delta \leq \frac{(q^{s-2} - 1)(q - 1)}{q^{t-s} - 1}.$$

In search for a reasonable conjecture when $t + r$ is odd, and also for the sake of exploring new methods, we first did a computer search in the particular case when $q = 2$, $t = 5$, $k = 2$ and $r = 2$, thereby using the Simplex Algorithm on the known necessary linear constraints for existence of subspace partitions. These linear constraints were found by Lehmann and Heden in [7]. This search showed that in these cases the type of a minimum size subspace partition is unique. This experience led us to make the following conjecture:

Conjecture 1. *Let n, k, t , and r be integers such that $1 \leq r < t - 1$, $k \geq 2$, and $t + r = 2s - 1$ for some integer s . Every minimum size subspace partition \mathcal{P} of $V(n, q)$, with t as the highest dimension in \mathcal{P} , is of type*

$$[(t - 1)^{q^{t-1} + q^{t-2} + 1}, t^{\ell q^t - q^{t-2}}] \text{ or } [(s - 1)^{q^s}, s^1, t^{\ell q^t}].$$

As we found the linear programming approach fruitful, we tried to use it in the more general situation, when $t > 3$, $r = t - 3$, and q is any prime power. Unfortunately, this led to rather complicated expressions that were tedious to evaluate. However, by using that approach we were able to prove Conjecture 1 for the special case when $k = 2$. The details in that proof might be published elsewhere.

2. SOME PRELIMINARY RESULTS

2.1. The ideal partition. The following lemma due to Herzog and Schönheim [6] and independently Beutelspacher [1] and Bu [2], ensures the existence of a partition \mathcal{P}_0 of V of minimum size.

Lemma 1. *Let n and d be integers such that $1 \leq d \leq n/2$. Then $V(n, q)$ admits a partition with one subspace of dimension $n - d$ and q^{n-d} subspaces of dimension d .*

Let $n = kt + r$ and $s = \lceil (t + r)/2 \rceil$, where $k \geq 2$ and $1 \leq r < t - 1$. By a recursive application of this lemma we find a subspace partition \mathcal{P}_0 of $V(n, q)$ that consists of

$$b_0 = q^s + 1$$

subspaces of dimension less than or equal to s and

$$a_0 = \ell q^t = q^{t+r} + q^{2t+r} + \dots + q^{(k-1)t+r} = q^{t+r} \frac{q^{(k-1)t} - 1}{q^t - 1}$$

spaces of dimension t . When $t + r$ is even, we get ℓq^t subspaces of dimension t and q^s subspaces of dimension s . When $t + r$ is odd, we get ℓq^t subspaces of dimension t , one subspace of dimension s , and q^s subspaces of dimension $s - 1$.

The total number of subspaces in \mathcal{P}_0 is then

$$\sigma_q(n, t) = a_0 + b_0 = \ell q^t + q^s + 1.$$

We refer to \mathcal{P}_0 as the *ideal* partition and often compare our results to this particular partition.

2.2. Some fundamental lemmas. We will often use the *packing condition*. It gives a set theoretic necessary condition for the existence of a subspace partition \mathcal{P} :

$$|V(n, q)| - 1 = \sum_{U \in \mathcal{P}} (|U| - 1).$$

Throughout this paper we will let \mathcal{H} denote the set of all hyperplanes of $V(n, q)$. For any hyperplane $H \in \mathcal{H}$, let $[b_1^H \dots b_m^H]$ be the *induced type* of H with respect to the partition \mathcal{P} , where b_i^H denotes the number of subspaces of dimension d_i in \mathcal{P} that are completely contained in H . Lehmann and Heden observed in [7] that the following relation is useful in the study of subspace partitions:

$$(1) \quad |\mathcal{P}| = 1 + \sum_{i=1}^m b_i^H q^{d_i}.$$

This relation is called the *second packing condition* and is used in the proof of the next lemma.

Lemma 2. *Let n, k, t , and r be integers such that $k \geq 2, 1 \leq r < t - 1$, and $n = kt + r$. Let \mathcal{P} be a subspace partition of $V(n, q)$ of size $\sigma_q(n, t)$ and with maximum subspace dimension t . Then*

$$n_t \leq \ell q^t.$$

Note that if $r = t - 1$, the ideal partition contains $n_t = \ell q^t + 1$ subspaces of dimension t .

Proof. Suppose to the contrary that $n_t = \ell q^t + \delta$, for some integer $\delta \geq 1$. By counting pairs (H, W) , where W is a subspace of dimension t in \mathcal{P} that is contained in the hyperplane H , we obtain

$$\sum_{H \in \mathcal{H}} b_t^H = n_t \frac{q^{n-t} - 1}{q - 1} = (\ell q^t + \delta) \frac{q^{n-t} - 1}{q - 1}.$$

Since $\ell = (q^{n-t} - q^r)/(q^t - 1)$, the average value of the above sum is

$$b_{\text{ave}} = \frac{\sum_{H \in \mathcal{H}} b_t^H}{|\mathcal{H}|} = \frac{(\ell q^t + \delta)(q^{n-t} - 1)}{q^n - 1} = \ell + \frac{\delta(q^{n-t} - 1) - (q^{n-t} - q^r)}{q^n - 1}.$$

As $\delta \geq 1$ and $q^r > 1$, the expression above is strictly larger than ℓ . Hence, there exists a hyperplane H^* that contains $b_t^{H^*} \geq \ell + 1$ subspaces of dimension t . Thus, it follows from Equation (1) that

$$|\mathcal{P}| \geq 1 + b_t^{H^*} q^t \geq 1 + (\ell + 1)q^t > \ell q^t + q^s + 1,$$

where the last inequality holds since $t > s$. This is a contradiction and thus $\delta < 1$. \square

Lemma 3. *Let n, k, t , and r be integers such that $k \geq 2, 1 \leq r < t - 1$, and $n = kt + r$. Assume that $t + r$ is an odd integer and let \mathcal{P} be a minimum size subspace partition of $V(n, q)$ consisting of subspaces of dimension t , subspaces of dimension $s = (t + r + 1)/2$, and subspaces of dimension less than s .*

If the number of subspaces of dimension t is $n_t = \ell q^t - \delta$, where $\delta \geq 0$, then the number of subspaces of dimension s is at least equal to

$$(2) \quad b = 1 + \delta \frac{q^{t-s+1} - 1}{q - 1}.$$

Proof. We consider the worst case scenario. By counting the number of vectors in subspaces of dimension t , that must be substituted into vectors of subspaces of dimension s when deleting δ subspaces of dimension t from a partition of the same type as the ideal partition, we get that the number of spaces of dimension s will be at least equal to

$$1 + \delta + \delta \frac{(q^t - 1) - (q^s - 1)}{(q^s - 1) - (q^{s-1} - 1)} = 1 + \delta + \delta q \frac{q^{t-s} - 1}{q - 1}.$$

□

The next result, Lemma 5, is also fundamental in our presentation. The proof of it uses Lemma 4 which was originally proved by Năstase and Sissokho [8] (also see [4, 5]).

Lemma 4. *Let n, k, t , and r be integers such that $k \geq 2, 1 \leq r < t$, and $n = kt + r$. Let \mathcal{P} be a subspace partition of $V(n, q)$ with no subspace of dimension higher than t . Assume furthermore that \mathcal{P} contains a subspace of dimension t and a subspace of dimension d , with $0 \leq d < t$. Then*

$$|\mathcal{P}| \geq \ell q^t + q^d + 1.$$

Lemma 5. *Let n, k, t , and r be integers such that $k \geq 2, 1 \leq r < t - 1$, and $n = kt + r$. Let \mathcal{P} be a subspace partition of $V(n, q)$ of size $\sigma_q(n, t)$ and with maximum subspace dimension t . Then the second largest dimension of a subspace in \mathcal{P} is $s = \lceil (t + r)/2 \rceil$.*

Proof. Let a denote the dimension of the second largest dimension that appear among the members in \mathcal{P} . If $a > s$, then it follows from Lemma 4 that

$$|\mathcal{P}| \geq \ell q^t + q^a + 1 > \ell q^t + q^s + 1 = \sigma_q(n, t),$$

which is a contradiction. So we may assume that $a \leq s$. We now show that $a \leq s - 1$ cannot hold. Indeed suppose, $a \leq s - 1$. Since $s \neq t$, it follows from Lemma 2 that $n_t \leq \ell q^t$. Since $0 < a \leq s - 1 < t$, the integer $|\mathcal{P}|$ is minimized when $n_t \leq \ell q^t$ is as large as possible. Thus, by selecting $n_t = \ell q^t$, counting vectors, and using the fact that

$$(3) \quad (q^n - 1) - \ell q^t (q^t - 1) = q^{2s-1} - 1.$$

we obtain

$$\begin{aligned} |\mathcal{P}| &\geq \ell q^t + \frac{(q^n - 1) - \ell q^t (q^t - 1)}{q^a - 1} \\ &\geq \ell q^t + \frac{q^{2s-1} - 1}{q^{s-1} - 1} \\ &> \ell q^t + q^s + 1, \end{aligned}$$

which is a contradiction. This proves the lemma. \square

If there are just three distinct dimensions in a subspace partition \mathcal{P} of minimum size, then we can determine the smallest dimension that is present. To prove this result, we will use the following theorem due to Heden [3].

Theorem 6 (Tail Condition). *Let \mathcal{P} be a partition of $V(n, q)$ of type $[d_1^{n_1} \dots d_m^{n_m}]$, where $d_1 < \dots < d_m$ and $n_i > 0$ are integers for all $1 \leq i \leq m$. Then*

- (i) *if $q^{d_2-d_1}$ does not divide n_1 and if $d_2 < 2d_1$, then $n_1 \geq q^{d_1} + 1$.*
- (ii) *if $q^{d_2-d_1}$ does not divide n_1 and $d_2 \geq 2d_1$, then either $n_1 = (q^{d_2} - 1)/(q^{d_1} - 1)$ or $n_1 > 2q^{d_2-d_1}$.*
- (iii) *if $q^{d_2-d_1}$ divides n_1 and $d_2 < 2d_1$, then $n_1 \geq q^{d_2} - q^{d_1} + q^{d_2-d_1}$.*
- (iv) *if $q^{d_2-d_1}$ divides n_1 and $d_2 \geq 2d_1$, then $n_1 \geq q^{d_2}$.*

We can now prove the following lemma.

Lemma 6. *Let a, k, r, s , and t be positive integers such that $n = kt + r$, $k \geq 2$, $1 \leq r < t - 1$, $1 \leq a < s$, and $t + r = 2s - 1$. If \mathcal{P} is a partition of $V = V(n, q)$ of type $[a^{n_a}, s^{n_s}, t^{n_t}]$ and of size $\sigma_q(n, t)$, then $a = s - 1$. Furthermore, if the number of subspaces of dimension t is $n_t = \ell q^t - \delta$, where $\delta \geq 0$, then $\delta \leq q^r - 1$.*

Proof. Let $\delta \geq 0$ be an integer and assume that \mathcal{P} has $\ell q^t - \delta$ members of dimension t , n_s members of dimension s , and n_a members of dimension a . By counting the number of vectors in $V(n, q)$, we obtain

$$(4) \quad (\ell q^t - \delta)(q^t - 1) + n_s(q^s - 1) + n_a(q^a - 1) = q^n - 1.$$

Since $\ell q^t + q^s + 1 = |\mathcal{P}| = (\ell q^t - \delta) + n_s + n_a$ and $(q^n - 1) - \ell q^t (q^t - 1) = q^{2s-1} - 1$, Equation (4) implies

$$(5) \quad n_a(q^s - q^a) + \delta(q^t - q^s) = q^{2s} - q^{2s-1}.$$

For $\delta = 0$, Equation (5) implies that $q^{s-a} - 1$ divides $q - 1$. Thus $s - a = 1$. So we assume in the following that $\delta > 0$.

We also note from Equation (5) that q^{s-a} divides n_a . If $s \geq 2a$, then it follows from Theorem 6(iv) that $n_a \geq q^s$. This would contradict the fact that $|\mathcal{P}| = \ell q^t + q^s + 1$ since $\delta > 0$ and by Lemma 3, we have $n_s \geq 1 + \delta + \delta \frac{q^{t-s}-1}{q-1} > 1 + \delta$. Moreover, if $s < 2a$, then Theorem 6(iii)

implies that $n_a \geq q^s - q^a + q^{s-a}$. Thus, Equation (5) yields

$$\begin{aligned} n_a &= \frac{q^{2s} - q^{2s-1} - \delta(q^t - q^s)}{q^s - q^a} \geq q^s - q^a + q^{s-a} \\ \Rightarrow q^{2s} - q^{2s-1} - \delta(q^t - q^s) &\geq (q^s - q^a + q^{s-a})(q^s - q^a) \\ (6) \quad \Rightarrow -q^{s+a}(q^{s-1-a} - 2) - \delta(q^t - q^s) - q^s(q^{s-a} - 1) - q^{2a} &\geq 0. \end{aligned}$$

If $s - 1 - a \geq 1$, then $q^{s-1-a} - 2 \geq 0$ and the expression on the left of Inequality (6) is negative. This would yield a contradiction. Hence, $s - 1 - a \leq 0$ and thus $a = s - 1$ since $a < s$.

By using the relations $a = s - 1$ and $t + r = 2s - 1$ in Inequality (6), we obtain

$$(7) \quad \delta(q^t - q^s) \leq q^{t+r} - q^{t+r-1} - q^{s+1} + q^s.$$

The left side is a linear increasing function of δ . For $\delta = q^r$, the left side is strictly larger than the right side, as $s \leq t - 1$. This proves the lemma. \square

2.3. The structure of the set of points outside subspaces of dimension t in a minimum size subspace partition. In this subsection, let \mathcal{H} denote the set of all hyperplanes in $V = V(n, q)$ and let \mathcal{A} denote the family of subspaces of dimension t in a subspace partition \mathcal{P} of V . Let x_i denote the number of hyperplanes in V that contain exactly i members of \mathcal{A} .

Lemma 7. *Let n, k, t , and r be integers such that $k \geq 2, 1 \leq r < t - 1$, and $n = kt + r$. Let \mathcal{P} be a subspace partition of $V(n, q)$ of size $\sigma_q(n, t)$ and with maximum subspace dimension t . Assume that \mathcal{P} contains exactly $n_t = \ell q^t$ members of dimension t . If $x_i \neq 0$, then*

$$(8) \quad \ell - q^r \leq i \leq \ell.$$

Proof. The points of a subspace U of V not belonging to a hyperplane $H \in \mathcal{H}$ are called the *black points to H in U* , and are denoted by $B_H(U)$. If U is a subspace of H then $B_H(U)$ is the empty set. Elementary linear algebra arguments give that if U is not a subspace of H then

$$|B_H(U)| = q^{\dim(U)-1}.$$

Let $\mathcal{B} = \mathcal{P} \setminus \mathcal{A}$ denote the set of members of \mathcal{P} that do not have dimension t . Then, $|\mathcal{B}| = q^s + 1$. If H is a hyperplane that contains all members of \mathcal{B} then the points of V not belonging to H are distributed among the members of \mathcal{A} . So if i members of \mathcal{A} are contained in H we get the equation

$$(n_t - i)q^{t-1} = q^{kt+r-1}.$$

This proves the left inequality in Equation (8).

The other extremal situation appears when no member of \mathcal{B} is contained in the hyperplane H . Let U_j , for $1 \leq j \leq q^s + 1$, denote the

members of \mathcal{B} . By counting the number of points of the subspaces in \mathcal{B} , we get

$$\sum_{j=1}^{|\mathcal{B}|} \frac{q^{\dim(U_j)} - 1}{q - 1} = \frac{q^{t+r} - 1}{q - 1}.$$

Thus, if $W \not\subseteq H$ for all $W \in \mathcal{B}$, then the total number of black points to H in the subspaces of \mathcal{B} is equal to

$$\sum_{j=1}^{|\mathcal{B}|} q^{\dim(U_j)-1} = \frac{1}{q} \left(q^{t+r} - 1 + \sum_{j=1}^{|\mathcal{B}|} 1 \right) = q^{t+r-1} + q^{s-1}.$$

So if i members of \mathcal{A} are contained in H , then we obtain in this extremal case the equation

$$(n_t - i)q^{t-1} + q^{t+r-1} + q^{s-1} = q^{kt+r-1}.$$

This relation can be simplified to

$$i = \ell + q^{s-t},$$

which is impossible since i and ℓ are integers, and $s < t$ implies that $0 < q^{s-t} < 1$. Hence, we conclude that H must contain at least one member of \mathcal{B} and that inequality $i \leq \ell$ in (8) holds. This concludes the proof of the lemma. \square

Proposition 1. *Let n, k, t , and r be integers such that $k \geq 2, 1 \leq r < t - 1$, and $n = kt + r$. Let \mathcal{P} be a subspace partition of $V(n, q)$ of size $\sigma_q(n, t)$ and with maximum subspace dimension t and with $n_t = \ell q^t$. Then the set of points in V that do not belong to members in \mathcal{P} of dimension t constitutes a subspace $W \subseteq V$ of dimension $t + r$.*

Proof. Trivially, but what will be used below, $x_i \geq 0$ for all i .

From Lemma 7, we know that

$$x_i \neq 0 \quad \implies \quad c = \ell - q^r \leq i \leq \ell.$$

As each member of \mathcal{A} is contained in exactly $(q^{(k-1)t+r} - 1)/(q - 1)$ hyperplanes, we get by double counting incidences (H, U) , for $H \in \mathcal{H}$ with $U \subseteq H$, that

$$(9) \quad \sum_{i=c}^{\ell} i x_i = n_t \cdot \frac{q^{(k-1)t+r} - 1}{q - 1} = C.$$

Any two members of \mathcal{A} are contained in $(q^{(k-2)t+r} - 1)/(q - 1)$ hyperplanes. Thus, by double counting incidences, we get

$$(10) \quad \sum_{i=c}^{\ell} \binom{i}{2} x_i = \binom{n_t}{2} \frac{q^{(k-2)t+r} - 1}{q - 1} = D.$$

Furthermore, by counting the number of hyperplanes in V we get that

$$(11) \quad \sum_{i=c}^{\ell} x_i = \frac{q^{kt+r} - 1}{q - 1} = E.$$

Observe that the constants C , D and E are independent of the particular choice of subspace partition of minimum size that contains a set \mathcal{A} as assumed in the proposition. This is especially true for the ideal partition \mathcal{P}_0 , a fact that will soon be used.

We obtain from the Equations (9), (10) and (11) that

$$(12) \quad \sum_{i=c}^{\ell} x_i(i-c)(i-\ell) = 2D + C - (c+\ell)C + c\ell E.$$

We will soon use the following most trivial facts

$$(13) \quad (i-c)(i-\ell) \begin{cases} = 0 & \text{if } i = c, \\ < 0 & \text{if } c < i < \ell, \\ = 0 & \text{if } i = \ell. \end{cases}$$

In order to show that the right side of Equation (12) is equal to zero we consider the ideal partition \mathcal{P}_0 . From the construction of the ideal partition \mathcal{P}_0 , it follows that the points in the $q^s + 1$ subspaces of dimension less than or equal to $s = \lceil (t+r)/2 \rceil$ in \mathcal{P}_0 constitute a subspace W of dimension $t+r$. Any hyperplane $H \in \mathcal{H}$ either contains W or intersects W in $(q^{\dim(W)-1} - 1)/(q - 1)$ points. These are the two extremal cases in the proof of Lemma 7. So for the ideal partition $x_i = 0$ for $c < i < \ell$. Then, it follows from Equation (13) that the left side of Equation (12) is equal to zero.

Thus, we obtain from Equation (13) that for any partition \mathcal{P} ,

$$\sum_{i=c+1}^{\ell-1} x_i(i-c)(i-\ell) = 0.$$

As $x_i \geq 0$, we may thus conclude from the equation above and Equation (13) that

$$c < i < \ell \quad \implies \quad x_i = 0.$$

Hence, we can now use Equation (9) and Equation (11) (or refer to the ideal subspace partition, which must have the same solution x_c and x_ℓ to these two equations) to calculate x_c (and x_ℓ). We then get that

$$(14) \quad x_c = \frac{q^{(k-1)t} - 1}{q - 1}.$$

Let \mathcal{H}_0 denote the set of all hyperplanes that intersect $q^{(k-1)t+r}$ members of \mathcal{A} so $x_c = |\mathcal{H}_0|$. Let W denote the intersection of all these hyperplanes and let S denote the set of points not contained in any member of \mathcal{A} .

From the argument used in the proof of Lemma 7, we deduce that

$$(15) \quad S \subseteq \bigcap_{H \in \mathcal{H}_0} H = W,$$

and from Equation (14), we obtain

$$\dim(W) = n - (k - 1)t = t + r.$$

Moreover, the number of points of S is equal to

$$|S| = \frac{q^n - 1}{q - 1} - \ell q^t \frac{q^t - 1}{q - 1} = \frac{q^{t+r} - 1}{q - 1} = |P_W|.$$

Thus, it follows from Equation (15) that the set of points in S will constitute the subspace W . \square

3. PROOFS OF THE RESULTS

3.1. The case $t + r$ is even. In this case the following lemma is true:

Lemma 8. *Let n, k, t , and r be integers such that $k \geq 2, 1 \leq r < t - 1$, and $n = kt + r$. Let \mathcal{P} be a subspace partition of $V(n, q)$ of minimum size $\sigma_q(n, t)$ and having the largest subspace dimension t . If $t + r = 2s$ is even, then*

$$n_t \geq \ell q^t.$$

Proof. From Lemma 5 we know that $s = (t + r)/2$ denotes the second largest dimension among the dimensions that appear in \mathcal{P} . Assume that \mathcal{P} has $n_t = \ell q^t - \delta$ subspaces of dimension t , with $\delta \geq 1$. Then the number, N , of points covered by the subspaces in \mathcal{P} satisfies

$$(16) \quad \begin{aligned} N &\leq (\ell q^t - \delta) \frac{q^t - 1}{q - 1} + (q^s + 1 + \delta) \frac{q^s - 1}{q - 1} \\ &= \frac{q^{kt+r} - 1}{q - 1} - \delta \frac{q^t - q^s}{q - 1} = N - \delta \frac{q^t - q^s}{q - 1}. \end{aligned}$$

Since we assumed $\delta \geq 1$, we have a contradiction. Thus $\delta \leq 0$, and the proof is complete. \square

We now prove Theorem 2.

Proof. From Lemma 8 and Lemma 2 we deduce that a minimum size subspace partition \mathcal{P} of V in the case $t + r$ is even has a subfamily \mathcal{A} consisting of

$$n_t = \ell q^t = q^{t+r} \frac{q^{(k-1)t} - 1}{q^t - 1}$$

spaces of dimension t . By Proposition 1, the set of points in the subspaces in the complement family $\mathcal{A}' = \mathcal{P} \setminus \mathcal{A}$ constitute a $(t + r)$ -dimensional subspace W of V .

There is just one type of subspace partition of W into $q^{(t+r)/2} + 1$ subspaces, namely, a subspace partition that solely consists of subspaces of dimension $(t + r)/2$. \square

3.2. The case $r = t - 1$. In this case, we show that a subspace partition \mathcal{P} of V of minimum size is unique.

When $r = t - 1$, the ideal subspace partition consists of $n_t = \ell q^t + 1$ subspaces of dimension t and q^t subspaces of dimension $t - 1$. Assume there is another subspace partition of size $\sigma_q(n, t)$ consisting of subspaces of dimension t and $a < t - 1$. Let n_a denote the number of subspaces of dimension a and $n_t = \ell q^t + 1 + x$ be the number of subspaces of dimension t . Thus

$$(17) \quad n_a + x = q^s = q^t.$$

From the packing condition we can conclude that $x \geq 0$. If we enumerate vectors, thereby comparing with the ideal partition, we get that

$$q^t(q^{t-1} - 1) = x(q^t - 1) + n_a(q^a - 1).$$

Combining these two equations we obtain

$$n_a(q^t - q^a) = (q - 1)q^{2t-1}.$$

There is just one solution to this equation, $a = t - 1$ and $n_a = q^t$. This proves Theorem 3.

3.3. The case $t + r$ odd and $t + r \leq 2t - 3$. By Lemma 2, we know that $n_t \leq \ell q^t$. Let s be as above, that is $s = (t + r + 1)/2$.

If $n_t = \ell q^t$, we can argue as in Proposition 1. Specifically, let W be defined as in Proposition 1. No subspace partition of W can contain two subspaces of dimension s , as $\dim(W) = 2s - 1$. Hence a minimum size subspace partition of W consists of one subspace of dimension s and the remaining subspaces of dimension $s - 1$. This proves Theorem 4.

Now we consider two distinct cases, when either two or three distinct dimensions occur in \mathcal{P} , and we derive some new necessary conditions. When there are just two distinct dimensions appearing in \mathcal{P} , then by Lemma 5 these dimensions must be t and s . Thus, by the packing condition and the assumption that the subspace partition \mathcal{P} has the minimum size $\sigma_q(n, t)$, we get the following system of equations:

$$(18) \quad \begin{cases} n_s & + & n_t & = & \sigma_q(n, t) \\ n_s(q^s - 1) & + & n_t(q^t - 1) & = & q^n - 1 \end{cases}$$

This system has only one integer solution, which is the one given in Theorem 5.

If there are three distinct dimensions t , s , and a appearing in \mathcal{P} , then the packing condition gives the following system of equations:

$$\begin{cases} n_a & + & n_s & + & n_t & = & \sigma_q(n, t), \\ n_a(q^a - 1) & + & n_s(q^s - 1) & + & n_t(q^t - 1) & = & q^n - 1. \end{cases}$$

By Lemma 6, we know that $a = s - 1$. Let $n_t = \ell q^t - \delta$, $n_s = 1 + \delta + x$, and $n_{s-1} = q^s - x$. From the system of equations above, or Equation (7) in the proof of Lemma 6, we have that

$$x(q^s - q^{s-1}) = \delta(q^t - q^s),$$

which we transform into

$$x(q-1) = \delta(q^{t-s+1} - q).$$

Solving for x in this equation gives the remaining part of Theorem 5.

4. REMARKS

Corollary 1. *Let n, k, r, t be integers such that $k \geq 2$, $n = kt + r$ and $1 \leq r < t$. Let \mathcal{P} be a partition of $V(n, q)$ containing a partial t -spread of maximum size and let a be the second largest dimension of a subspace in \mathcal{P} . Then $|\mathcal{P}| > \sigma_q(n, t)$, in the following cases:*

- (1) $t + r$ is even
- (2) $t + r$ is odd and $r \neq t - 1$.

In other words, \mathcal{P} does not have minimum size unless possibly $n = (k + 1)t - 1$.

Proof. Let \mathcal{P} be a partition of $V(n, q)$ of size $|\mathcal{P}| = \sigma_q(n, t) = \ell q^t + q^s + 1$. First, if $t + r$ is even, then by Theorem 2, $n_t = \ell q^t$. Next, assume that $t + r$ is odd and $r \neq t - 1$. Then $s \neq t$, where $t + r = 2s - 1$. Now, it follows from Lemma 2 that $n_t \leq \ell q^t$. Hence, the result holds. \square

The methods used in the proof of Theorem 5 cannot be extended to rule out the existence of a subspace partition \mathcal{P}_x of type $[3^{13}4^{28}]$ in $V(9, 2)$. This subspace partition has size 41 which is equal to the minimum size of a subspace partition in $V(9, 2)$ with subspaces of maximum subspace dimension 4. The ideal partition of $V(9, 2)$ has type $[2^83^14^{32}]$ and is of size 41. Although we tried very hard (using a computer search) to construct such a partition, we have not succeeded yet. However, we still believe that the subspace partition \mathcal{P}_x exists.

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